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\* Admitted in NY only

\*\* Passed the Patent Bar Examination

MERIDETH C ARNOLD

September 19, 2001

**Box Missing Parts** Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Patent Application Serial No. 09/865,112

Title: SYSTEMS AND METHODS FOR EXCHANGING

INFORMATION BETWEEN OPTICAL NODES

Filed: May 24, 2001

Inventors: Marc Robidas and Arvind Puntambekar

Our Ref. No.: SYCS-014

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- Response to Notice To File Missing Parts of Application (in duplicate); 1.
- Executed Declaration, Petition and Power of Attorney; 2.
- Copy of the Formalities Letter; 3.
- 7 Sheets of Substitute Drawings (Figures 1-8); 4.
- Check for \$130.00 (declaration surcharge); and 5.
- Return mailroom postcard. 6.

Please charge any necessary fees to our Deposit Account No. 12-0080. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on:

September 19, 2001

Date

Kevin J. Canning, Esq., Reg. No. 35,470

Respectfully submitted,

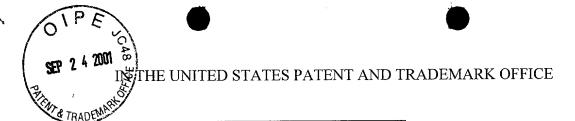
LAHIVE & COCKFIELD, LLP

Kevin J. Canning Reg. No. 35,470

28 State Street

Boston, MA 02109

Tel. (617) 227-7400



In re the application of: Marc Robidas and Arvind

Puntambekar

Serial No.: 09/865,112

Filed: May 24, 2001

For: SYSTEMS AND METHODS FOR EXCHANGING INFORMATION BETWEEN

OPTICAL NODES

Attorney Docket No.: SYCS-014

Group Art Unit: 2661

Examiner:

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

### Certificate of First Class Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on the date set forth below.

September 19, 2001

Date of Signature and of Mail Deposit

By:

Kevin J. Canning Reg. No. 35,470

Attorney for Applicant

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION

Dear Sir:

Responsive to the Notice to File Missing Parts of Non-Provisional Application dated July 25, 2001, Applicants' attorney submits herewith the executed Declaration, Petition and Power of Attorney for Patent Application and a check in the amount of \$130.00 to cover the declaration surcharge fee.

Also enclosed in Response to the Notice to File Missing Parts of Non-Provisional Application, Applicants' attorney submits herewith 7 sheets of formal drawings (Figures 1-8). Applicants respectfully submit that the formal drawings are in compliance with the requirements set forth on the Notice to File Missing Parts of Non-Provisional Application.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate of this letter is enclosed.

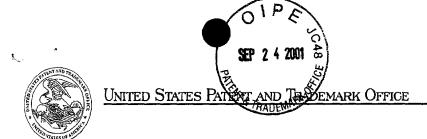
Respectfully submitted,

Kevin J. Canning

Registration No. 35,470 Attorney for Applicants

LAHIVE & COCKFIELD, LLP 28 State Street Boston, MA 02109 Tel. (617) 227-7400

Dated: September 19, 2001



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 09/865,112 05/24/2001 Marc Robidas SYCS-014

000959 LAHIVE & COCKFIELD 28 STATE STREET

BOSTON, MA 02109

**CONFIRMATION NO. 3043 FORMALITIES LETTER** \*OC000000006341243\*

Date Mailed: 07/25/2001

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

19/2	5/2001	KYFADIF	00000078	03892115

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A copy of this notice MUST be returned w	cith the reply. RECEIVED  LAHIVE & COCKFIELD  DOCKET DEPT.
Customer Service Center	JUL 2 7 2001
Initial Patent Examination Division (703) 308-1202	RETRIEVED:





## PART 2 - COPY TO BE RETURNED WITH RESPONSE